| General information about company | |
|--|-------------------------------|
| Scrip code | 526173 |
| Name of the company | Andrew Yule & Company Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2017 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | | | Table | I - Summa | ry Statement h | olding of spec | cified securities | | | | | |
|-----------------|--------------------------------------|--------------|----------------------|--------------------|-----------------------------|------------------------------|-------------------------------------|--------------|--------------------|-----------------|-----------------|--|
| | Category of | Nos. Of | No. of fully paid up | No. Of Partly | No. Of shares | Total nos. | Shareholding as a % of total no. of | Number of V | | ghts held in ea | ach class | |
| Category (I) | shareholder | shareholders | equity | paid-up equity | underlying | shares held (VII) = (IV)+ | shares (calculated as per SCRR, | No of Voting | oting (XIV) Rights | Total as a | | |
| () | (II) | (III) | shares held (IV) | shares held (V) | Depository Receipts (VI) | (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: | Class eg:y | Total | % of (A+B+C) | |
| (A) | Promoter & Promoter Group | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 | |
| (B) | Public | 28297 | 52576348 | | | 52576348 | 10.75 | 52576348 | | 52576348 | 10.75 | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | _ | _ | | | | _ | _ | |
| | Total | 28298 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 | |

| | | | Table I | - Summary State | ement holding of sp | ecified secur | ities | | | | |
|--------------|---------------------------------|--|--|---|---|----------------------------------|---------------------------------|--|---------------------------------|---------------------------------|--|
| Category (I) | Category of shareholder | No. Of Shares Underlying Outstanding | No. of Shares Underlying Outstanding | No. Of Shares Underlying Outstanding convertible securities and | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in | |
| | (II) | convertible securities (X) | Warrants (Xi) | No. Of Warrants (Xi) (a) | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) | |
| (A) | Promoter & Promoter Group | | | | 89.25 | 436374630 | 100 | | | 436374630 | |

| (B) | Public | | 10.75 | 0 | 0 | | 51952595 |
|------|---|--|-------|-----------|-------|--|-----------|
| (C) | Non Promoter- Non Public | | | | | | |
| (C1) | Shares underlying DRs | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | |
| | Total | | 100 | 436374630 | 89.25 | | 488327225 |

| | | Table II - State | ment showing | g shareho | lding pattern | of the Promo | ter and Promote | r Group | | | |
|---|---|----------------------|-----------------------------|-----------------------|------------------------------------|--|--|----------------|---------------|-----------------|---------------------------------|
| | | | No of fully | No. Of Partly | No. Of | | Shareholding as a % of total | Number of \ | | ights held in e | ach |
| Sr. | Category & Name of the | Nos. Of shareholders | No. of fully paid up equity | paid-up equity | shares underlying Depository | Total nos. shares held (VII) = (IV)+ | no. of shares (calculated as per SCRR, | No of Voting | (XIV) F | Rights | Total as a % |
| | Shareholders (I) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights |
| A | Table II - Stateme | nt showing shar | eholding patte | rn of the P | romoter and F | Promoter Group | p | | | • | |
| (1) | Indian | | | | | | | | | | |
| (b) | Central Government/ State Government(s) | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| Sub-Total (A) (1) | | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| (2) | Foreign | | | • | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| В | Table III - Stateme | ent showing sha | reholding patte | ern of the F | Public shareho | older | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 3 | 950 | | | 950 | 0 | 950 | | 950 | 0 |
| (e) | Foreign Portfolio Investors | 1 | 1 | | | 1 | 0 | 1 | | 1 | 0 |
| (f) | Financial Institutions/ Banks | 29 | 12715179 | | | 12715179 | 2.6 | 12715179 | | 12715179 | 2.6 |
| (i) | Any Other (specify) | 1 | 10765076 | | | 10765076 | 2.2 | 10765076 | | 10765076 | 2.2 |
| Sub-Total (B) (1) | | 34 | 23481206 | | | 23481206 | 4.8 | 23481206 | | 23481206 | 4.8 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 27681 | 25163688 | | | 25163688 | 5.15 | 25163688 | | 25163688 | 5.15 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 6 | 835582 | | | 835582 | 0.17 | 835582 | | 835582 | 0.17 |

| (b) | NBFCs registered with RBI | 3 | 4638 | | | 4638 | 0 | 4638 | | 4638 | 0 |
|--|---------------------------------|-----------------|-----------------|-------------|---------------|---------------|------------|-----------|---|-----------|-------|
| (e) | Any Other (specify) | 573 | 3091234 | | | 3091234 | 0.63 | 3091234 | | 3091234 | 0.63 |
| Sub-Total (B) (3) | | 28263 | 29095142 | | | 29095142 | 5.95 | 29095142 | | 29095142 | 5.95 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | 28297 | 52576348 | | | 52576348 | 10.75 | 52576348 | | 52576348 | 10.75 |
| С | Table IV - Stateme | ent showing sha | reholding patte | rn of the N | Non Promoter- | Non Public sh | nareholder | | • | | |
| Total (A+B+C2) | | 28298 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 |
| Total (A+B+C) | | 28298 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 |

| | Т | able II - Stateme | nt showing shareho | Iding pattern of the Pron | noter and Pro | moter Gro | up | | |
|---|--|-----------------------------|---|---|-----------------------------|---------------------------------|---------------|---|--|
| Sr. | No. Of Shares Underlying Outstanding | No. of Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of | Number of L shares (XII) | ocked in | Shar or ot | ber of res pledged herwise imbered | Number of equity shares held in dematerialized |
| | convertible securities (X) | ertible Warrants (Xi) | securities and No. Of Warrants (Xi) (a) | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | form (XIV) |
| A | Table II - Stateme | ent showing share | holding pattern of the | e Promoter and Promoter (| Group | • | | | |
| (1) | Indian | | | | | | | | |
| (b) | | | | 89.25 | 436374630 | 100 | | | 436374630 |
| Sub-Total (A)(1) | | | | 89.25 | 436374630 | 100 | | | 436374630 |
| (2) | Foreign | | • | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 89.25 | 436374630 | 100 | | | 436374630 |
| В | Table III - Statem | nent showing shar | eholding pattern of th | e Public shareholder | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0 | 0 | 0 | | | 0 |
| (e) | | | | 0 | 0 | 0 | | | 1 |
| (f) | | | | 2.6 | 0 | 0 | | | 12697527 |
| (i) | | | | 2.2 | 0 | 0 | | | 10765076 |
| Sub-Total (B)(1) | | | | 4.8 | 0 | 0 | | | 23462604 |
| (3) | Non-institutions | | • | • | • | ı | | • | l |
| (a(i)) | | | | 5.15 | 0 | 0 | | | 24576620 |
| (a(ii)) | | | | 0.17 | 0 | 0 | | | 835582 |
| (b) | | | | 0 | 0 | 0 | | | 4638 |
| (e) | | | | 0.63 | 0 | 0 | | | 3073151 |
| Sub-Total (B)(3) | | | | 5.95 | 0 | 0 | | | 28489991 |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 10.75 | 0 | 0 | | | 51952595 |
| С | Table IV - Statem | nent showing shar | eholding pattern of th | ie Non Promoter- Non Pub | lic shareholde | er | | | |
| Total (A+B+C2) | | | | 100 | 436374630 | 89.25 | | | 488327225 |
| Total (A+B+C) | | | | 100 | 436374630 | 89.25 | | | 488327225 |

| Central Government/ State Government(s) | | | | | | | | |
|--|---------------------------|-----------------------|--|--|--|--|--|--|
| Searial No. | 1 | | | | | | | |
| Name of the Shareholders (I) | President of India | Click here to go back | | | | | | |
| PAN (II) | | Total | | | | | | |
| No. of fully paid up equity shares held (IV) | 436374630 | 436374630 | | | | | | |
| No. Of Partly paid-up equity shares held (V) | | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 436374630 | 436374630 | | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 89.25 | 89.25 | | | | | | |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | | |
| Class eg: X | 436374630 | 436374630 | | | | | | |
| Class eg:y | | | | | | | | |
| Total | 436374630 | 436374630 | | | | | | |
| Total as a % of Total Voting rights | 89.25 | 89.25 | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and warrants (X) | | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 89.25 | 89.25 | | | | | | |
| Number of Locked in shares (XII) | | | | | | | | |
| No. (a) | 436374630 | 436374630 | | | | | | |
| As a % of total Shares held (b) | 100 | 100 | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | | |
| No. (a) | | | | | | | | |
| As a % of total Shares held (b) | | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 436374630 | 436374630 | | | | | | |
| Reason for not providing PAN | | | | | | | | |
| Reason for not providing PAN | Textual Information(1) | | | | | | | |

| | Text Block |
|------------------------|--------------------|
| Textual Information(1) | Exempted Category. |

| Financial Institutions/ Banks | | | | | | | |
|---|-------------------|-----------------------|--|--|--|--|--|
| Searial No. | 1 | | | | | | |
| Name of the Shareholders (I) | Bank of Baroda | Click here to go back | | | | | |
| PAN (II) | AAACB1534F | Total | | | | | |
| No. of fully paid up equity shares held (IV) | 12462500 | 12462500 | | | | | |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 12462500 | 12462500 | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.55 | 2.55 | | | | | |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 12462500 | 12462500 | | | | | |

| Class eg:y | | |
|--|----------|----------|
| Total | 12462500 | 12462500 |
| Total as a % of Total Voting rights | 2.55 | 2.55 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.55 | 2.55 |
| Number of Locked in shares (XII) | | |
| No. (a) | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 12462500 | 12462500 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | |
|--|--|-------|--|--|
| Searial No. | 1 | 1 | | |
| Category | National Investment Fund | | | |
| Category / More than 1 percentage | More than 1 percentage of shareholding Click here to go back | | | |
| Name of the Shareholders (I) | Special National Investment Fund | | | |
| PAN (II) | | Total | | |
| No. of the Shareholders (I) | 1 | 0 | | |
| No. of fully paid up equity shares held (IV) | 10765076 | 0 | | |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 10765076 | 0 | | |
| Shareholding as a $\%$ of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of (A+B+C2) | 2.2 | 0 | | |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 10765076 | 0 | | |
| Class eg:y | | | | |
| Total | 10765076 | 0 | | |
| Total as a % of Total Voting rights | 2.2 | 0 | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and Warrants (X) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.2 | 0 | | |
| Number of Locked in shares (XII) | | | | |
| No. (a) | 0 | 0 | | |
| As a % of total Shares held (b) | 0 | 0 | | |
| Number of equity shares held in dematerialized form (XIV) | 10765076 | 0 | | |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | Textual Information(1) | | | |

| Text Block | | | | | |
|------------------------|--------------------|--|--|--|--|
| Textual Information(1) | Exempted Category. | | | | |

| Any Other (specify) | | | | | |
|--|---------------------|----------|-----------------------|--|--|
| Searial No. | 1 | 2 | | | |
| Category | Bodies Corporate | Trusts | | | |
| Category / More than 1 percentage | Category | Category | | | |
| Name of the Shareholders (I) | | | Click here to go back | | |
| PAN (II) | | | Total | | |
| No. of the Shareholders (I) | 572 | 1 | 573 | | |
| No. of fully paid up equity shares held (IV) | 3090234 | 1000 | 3091234 | | |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 3090234 | 1000 | 3091234 | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.63 | 0 | 0.63 | | |
| Number of Voting Rights held in each class of securities (IX) | • | • | | | |
| Class eg: X | 3090234 | 1000 | 3091234 | | |
| Class eg:y | | | | | |
| Total | 3090234 | 1000 | 3091234 | | |
| Total as a % of Total Voting rights | 0.63 | 0 | 0.63 | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.63 | 0 | 0.63 | | |
| Number of Locked in shares (XII) | | • | • | | |
| No. (a) | 0 | 0 | 0 | | |
| As a % of total Shares held (b) | 0 | 0 | 0 | | |
| Number of equity shares held in dematerialized form (XIV) | 3072151 | 1000 | 3073151 | | |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |
| | • | • | • | | |